

1.0 Department of Human Services - Executive Director Operations

Summary

The “Executive Director Operations” (EDO) includes the Department Director’s Office and various bureaus that serve other divisions in the department, such as Fiscal Operations, Human Resource Management, and the Office of Technology. It also includes programs that are independent of the divisions such as the Governor’s Council on People with Disabilities, Foster Care Citizens Review Board, and the Office of Children’s Ombudsman.

1.1 Financial Summary

The Analyst recommends a total FY 2000 appropriation for Executive Director Operations of \$18.4 million. This includes \$8.2 million from the General Fund, with most of the balance coming from federal sources.

	FY 1998	FY 1999	FY 2000	FY 00-99
Financing	Actual	Authorized	Analyst	Difference
General Fund	\$8,035,000	\$8,106,400	\$8,192,800	\$86,400
Federal Funds	15,913,332	7,837,000	7,834,000	(3,000)
Fed Funds (SSBG Trnsf)	0	0	30,000	30,000
Dedicated Credits	40,136	59,900	59,700	(200)
Transfers	2,489,028	2,427,600	2,251,900	(175,700)
Lapse	(41,178)	0	0	0
Beginning Nonlapsing	140,070	121,300	0	(121,300)
Ending Nonlapsing	(121,308)	0	0	0
Total Revenues	\$26,455,080	\$18,552,200	\$18,368,400	(\$183,800)
FTEs		212.8	212.8	0
Expenditures				
Personal Services	\$10,758,308	\$11,358,200	\$11,283,900	(\$74,300)
Instate Travel	186,441	206,500	206,500	0
Out of State Travel	56,446	55,500	55,500	0
Current Expense	4,438,526	2,747,400	2,847,100	99,700
Data Proc. Current Exp.	1,788,675	1,997,900	1,848,200	(149,700)
DP Capital Outlay	39,567	0	0	0
Pass Through Expense	9,187,117	2,186,700	2,127,200	(59,500)
Total Expenditures	\$26,455,080	\$18,552,200	\$18,368,400	(\$183,800)
Programs				
Executive Director	\$2,254,743	\$2,468,900	\$2,478,100	\$9,200
Administrative Hearings	573,491	657,000	657,200	200
Information Technology	3,846,130	4,068,000	4,024,900	(43,100)
Administrative Support	2,846,525	3,012,600	2,978,600	(34,000)
Fiscal Operations	2,273,378	2,506,600	2,407,100	(99,500)
Human Resource Mgt	1,177,765	1,258,800	1,257,800	(1,000)
Local Discretionary	1,928,958	1,662,300	1,597,500	(64,800)
Special Projects	915,937	911,700	1,014,700	103,000
Children's Ombudsman	361,152	403,000	403,000	0
DCFS Monitoring Panel	424,300	93,000	0	(93,000)
Gov. Disabilities Council	677,164	653,800	653,100	(700)
Fed. Energy Program*	8,477,177	0	0	0
Foster Care Citizens Review Bd	698,360	856,500	896,400	39,900
Total	\$26,455,080	\$18,552,200	\$18,368,400	(\$183,800)

2.0 Executive Director Operations Budget Highlights

The following table summarizes the changes and building blocks recommended by the Analyst for the FY 2000 budget. Capsule discussions of these changes follow the table.

	General Fund	Total Funds
Authorized FY 1999	\$8,106,400	\$18,552,200
Transfers, Adjustments, Base Reductions		
Less one-time funding: Monitoring Panel	(\$21,700)	(\$93,000)
Other FY 2000 revised funding estimates (non-state funds)		(224,200)
Rent reduction - N. Temple	(7,500)	(13,400)
Rent reallocation - 2nd West	6,000	12,000
Transfer from DCFS	43,000	0
FY 2000 Building Blocks		
ISF adjustments	66,600	99,100
FCCRB lease space	0	35,700
Total Building Blocks & Adjustments	\$86,400	(\$183,800)
Total FY 2000 Budget Recommendations	\$8,192,800	\$18,368,400
(Without Compensation Adjustment)		

2.1 Monitoring Panel

The David C. lawsuit settlement expired in August, 1998. Although the Division of Child and Family Services (DCFS) are still under review by the court, the panel is no longer functioning. It was funded on a one-time basis last year with \$21,700 from the General Fund.

2.2 Transfer from DCFS

The Department requests that funds for the DCFS Compliance Review Unit and Hearing Panel be transferred to the EDO budget. The Department believes that this function needs to be more distant from DCFS to help ensure impartiality. The Analyst concurs in this transfer of \$43,000 in General Funds.

2.3 ISF Adjustments

The Analyst has included funding to address the rate changes planned for next year by the State's internal service funds, such as the Motor Pool and Information Technology Services. In EDO, this amounts to \$99,100 (\$66,600 from the General Fund).

3.0 Executive Director Operations - Summary

The “Executive Director Operations” (EDO) includes the Department Director’s Office and 12 other “program” budgets for services and bureaus that serve other divisions in the department or that are independent of the divisions. For FY 2000, two programs are no longer functioning, namely the DCFS Monitoring Panel and the Federal Energy Program. The Foster Care Citizens Review Board is in the process of completing its move to a statewide operation.

3.0.1 Legislative Intent Language

The Legislature included the following intent language in the FY 1999 appropriation for the Department of Human Services, Executive Director Operations:

a. “It is the intent of the Legislature that in scheduling appropriations subcommittee meetings for the 1999 General Session, the Legislative Management Committee schedule a meeting of the FACT (Families, Agencies, Communities Together) within the first three weeks of the General Session. It is further the intent of the Legislature that the agenda for this meeting include a review of all FACT related budget items and consideration of any appropriate recommendation of those items to the appropriation subcommittees involved with FACT.

The Legislative Management Committee has set aside Feb 5, 1999, for the FACT meeting as required by HJR 10 (Joint Rules - Recommendation on Appropriation for Collaborative Programs) passed during the 1996 Legislative Session. This meeting will include members of the following Appropriations Subcommittees: Health and Human Services, Public Education, Workforce Services and Corrections.

b. “It is the intent of the Legislature that the Department of Human Services present to the Legislative Fiscal Analyst’s office detailed outcome measures for each budget area in each division within the Department. These outcome measures shall be, wherever possible, reported in terms of outcomes achieved with the population served in addition to the report of total numbers served. The Legislative Fiscal Analyst Office shall include the departments report including measurements within their budget presentation on an item by item basis.”

The Department has submitted this report to the Fiscal Analyst. It will be discussed during the appropriate sub-committee hearings during the session.

c. “It is the intent of the Legislature that no public money be used by the Governor’s Council for People with Disabilities to develop, train for, or promote legislative lobbying efforts. Therefore, the funds appropriated by this Act for the use of the Council shall be used to develop services and supports for the people with disabilities in areas where the Council has identified weaknesses or gaps in the system.”

The Legislative Fiscal Analyst has reviewed the budget of the Governor’s Council in detail. Copies of the budget will be available to the Health and Human Services Appropriations Subcommittee for review and discussion. The Analyst has concluded that the Council is in violation of this intent language. Please refer to Section 3.12 of this report for details.

**3.1 Executive
Director's Office**

Programs operated in the Director's Office:

Executive Director's Office includes positions responsible for the overall direction of the Department. This includes, administration and support, constituent services, public relations, legislative liaison, planning and policy development.

F.A.C.T. Administration: Families, Agencies, and Communities Together (FACT) is a program to provide flexible preventive services to families with children at-risk of failing in school, and to prevent abuse and neglect. It is administered by a staff of two in the DHS Executive Director's Office. The program involves the Departments of Human Services, Health, Public Education, and the Juvenile Courts. F.A.C.T operations and budgets will be discussed on a separate hearing date.

DCFS Compliance Unit coordinates and monitors the Department's efforts to comply with Court directives. This includes audits and activities of the Bureau of Services Review and the Implementation Section. It is responsible for correspondence and coordination regarding Court action aimed at improving child protective services in the Division of Child and Family Services (DCFS). The unit includes the Bureau of Services Review and the Implementation Team.

The Bureau of Service Review performs quality control reviews of Child Protective Services and Foster Care cases in DCFS. Cases are audited for accuracy, and conformance to existing laws, policies and procedures.

The Implementation Team is responsible to work with DCFS to implement all necessary corrective action to bring the Division into compliance with current Court directives.

3.1.1 Director's Office Budget Recommendations

The Analyst recommends \$2.5 million be appropriated to the Director's Office for FY 2000. This includes \$1.3 million from the General Fund. The recommendation includes a small adjustment for the rate changes planned by the State's internal service funds, and a funding shift reflecting the reassigned space being occupied by the Office in the North Temple facility in Salt Lake.

	FY1998	FY1999	FY2000	FY 00 - 99
Funding Source	Actual	Authorized	Analyst	Difference
General Fund	\$1,238,898	\$1,268,700	\$1,273,300	\$4,600
Federal Funds	957,492	1,105,300	1,110,000	4,700
Dedicated Credits	25,885	59,900	59,800	(100)
Revenue Transfers	20,000	35,000	35,000	0
Beginning Nonlapsing	12,468	0	0	0
Ending Nonlapsing	0	0	0	0
Total Revenues	\$2,254,743	\$2,468,900	\$2,478,100	\$9,200
FTEs		28.3	28.3	0.0
FY 2000 Adjustments				
Rent reallocation			\$12,000	
ISF adjustments			(2,800)	
Total Adjustments			\$9,200	

3.2 Administrative Hearings

This Office conducts hearings required by state and federal law where persons may appeal decisions of administrative agencies in the Department. Among the programs served are child support enforcement, foster care due process, licensing, and disciplinary proceedings by Division of Youth Corrections. The Office also hears departmental employee grievances.

3.2.1 Administrative Hearings Budget Recommendations

The FY 2000 budget recommended by the Analyst for FY 2000 includes several minor adjustments for revised funding estimates and internal service funds rate changes. It also includes a transfer of \$23,000 in General Fund from the Division of Child and Family Services for the Consumer Hearing Panel. The Department believes that the hearing panel needs to be more distant from DCFS to help ensure impartiality. The Analyst concurs in this transfer of General Funds with an offsetting reduction in the budget from revenue transfers.

	FY1998	FY1999	FY2000	FY 00 - 99
Funding Source	Actual	Authorized	Analyst	Difference
General Fund	\$356,261	\$449,400	\$472,500	\$23,100
Federal Funds	98,068	161,600	161,700	100
Revenue Transfers	115,255	46,000	23,000	(23,000)
Beginning Nonlapsing	3,907	0	0	0
Ending Nonlapsing	0	0	0	0
Total Revenues	\$573,491	\$657,000	\$657,200	\$200
FTEs		10.0	10.0	0.0
FY 2000 Adjustments				
Transfer from DCFS			\$0	
Other adjustments			100	
ISF adjustments			100	
Total Adjustments			\$200	

3.3 Information Technology

This office is responsible for the support and maintenance of the department's data processing network, including program development, system design, and data security. It also manages the Unified Social Services Delivery System (USSDS).

3.3.1 Information Technology Budget Recommendations

The Analyst recommends that approximately \$4.0 million be appropriated to this office for FY 2000. This includes \$1 million from the General Fund. The recommendation includes a reduction in funding reflecting internal service fund rate changes planned for next year.

	FY1998	FY1999	FY2000	FY 00 - 99
Funding Source	Actual	Authorized	Analyst	Difference
General Fund	\$1,019,117	\$1,013,800	\$1,003,000	(\$10,800)
Federal Funds	840,711	992,700	982,200	(10,500)
Revenue Transfers	2,047,453	2,061,500	2,039,700	(21,800)
Beginning Nonlapsing	23,606	0	0	0
Ending Nonlapsing	(84,756)	0	0	0
Total Revenues	\$3,846,131	\$4,068,000	\$4,024,900	(\$43,100)
FTEs		38.0	38.0	0.0
FY 2000 Adjustments				
Other FY00 revised funding estimates (non-state funds)			\$100	
ISF adjustments			(43,200)	
Total Adjustments			(\$43,100)	

3.4 Administrative Support Services

This bureau is responsible for contracted services, facilities management and planning, risk management, ADA coordination, emergency management. It provides such general services as mailing, distribution, forms, motor pool, and copy services. This office also manages the Office of Licensing, and the Social Services Block Grant. The Bureau is responsible for conducting the random moment sample used to allocate workers time between federal programs.

3.4.1 Administrative Support Budget Recommendations

The Analyst's FY 2000 budget recommendation reflects revised revenue estimates of non-State funds, a rent reduction at the North Temple Office, and the planned rate changes in the State's internal service funds. The total recommendation of nearly \$3.0 million, includes \$1.9 million from the General Fund.

	FY1998	FY1999	FY2000	FY 00 - 99
Funding Source	Actual	Authorized	Analyst	Difference
General Fund	\$1,708,600	\$1,851,800	\$1,868,600	\$16,800
Federal Funds	1,039,376	1,100,800	1,111,300	10,500
Revenue Transfers	84,000	60,000	(1,300)	(61,300)
Beginning Nonlapsing	14,549	0	0	0
Ending Nonlapsing	0	0	0	0
Total Revenues	\$2,846,525	\$3,012,600	\$2,978,600	(\$34,000)
FTEs		53.60	53.60	0.0
FY 2000 Adjustments				
Other FY00 revised funding estimates (non-state funds)			(\$59,400)	
Rent reduction - North Temple			(13,400)	
ISF adjustments			38,800	
Total Adjustments			(\$34,000)	

3.5 Fiscal Operations

This office provides financial services and information to the department. The Bureau of Budget oversees the appropriation request and budgeting process. The Bureau of Finance is responsible for cost allocations for federal grants, purchasing, accounting, cash management, and payroll services. The Bureau of Internal Review and Audit reports to department management on fiscal accountability, efficiency, economy, effectiveness of programs and services. Internal Review also functions as liaison between the Department and the Division of Health Care Financing and oversees federal fund utilization and managed care strategies.

**3.5.1 Fiscal Operations
Budget
Recommendations**

The Analyst recommends \$2.4 million (including \$1 million General Funds) be appropriated for this office for FY 2000. The FY 1999 budget includes \$100,000 in carry-forward funds that will be expended in the current year.

	FY1998	FY1999	FY2000	FY 00 - 99
Funding Source	Actual	Authorized	Analyst	Difference
General Fund	\$987,600	\$1,019,500	\$1,019,700	\$200
Federal Funds	1,255,551	1,387,100	1,387,400	300
Beginning Nonlapsing	45,471	100,000	0	(100,000)
Ending Nonlapsing	(15,244)	0	0	0
Total Revenues	\$2,273,378	\$2,506,600	\$2,407,100	(\$99,500)
FTEs		34.00	34.00	0.00
FY 2000 Adjustments				
Other FY00 revised funding estimates			(\$100,000)	
ISF adjustments			500	
Total Adjustments			(\$99,500)	

3.6 Human Resources

This bureau is responsible for classification, compensation, recruitment, employee assistance, corrective discipline, grievance resolution, training, volunteer coordination, ADA and sexual harassment resolution. During the 1998 calendar year, the Bureau was responsible for services to the approximate 4,800 employees in the Department. Its staff of 29 processed 1,342 new hires and 906 employees who left the Department. Ten of the staff members work (and are funded) at the Developmental Center and the State Hospital.

3.6.1 Human Resources Budget Recommendation

The Analyst recommends a total appropriation of \$1.3 million for the Human Resources Office for FY 2000, including \$538,700 from the General Fund. The only recommended adjustment is a small reduction reflecting rate changes planned by the State's internal service funds.

	FY1998	FY1999	FY2000	FY 00 - 99
Funding Source	Actual	Authorized	Analyst	Difference
General Fund	\$550,700	\$539,100	\$538,700	(\$400)
Federal Funds	583,009	699,700	699,100	(600)
Revenue Transfers	44,055	20,000	20,000	0
Total Revenues	\$1,177,764	\$1,258,800	\$1,257,800	(\$1,000)
FTEs		19.5	19.5	0.0
FY 2000 Adjustments				
ISF adjustments			(1,000)	
Total Adjustments			(\$1,000)	

3.7 Local Discretionary Pass-through (Social Services Block Grant)

Since the inception of the Social Services Block Grant (SSBG), the State has passed through a portion of the grant to local governments (10% since 1990). Since FFY 1997 (Federal Fiscal Year), this block grant has been reduced approximately 23%, from \$2.5 billion to \$1.9 billion in FFY 1999. Utah's share has gone from \$18.2 million to \$14.1 million. The portion passed on to local entities has likewise been reduced as shown in the table below.

	FY1998	FY1999	FY2000	FY 00 - 99
Funding Source	Actual	Authorized	Analyst	Difference
Federal Funds	\$1,928,958	\$1,662,300	\$1,597,500	(\$64,800)
Total Revenues	\$1,928,958	\$1,662,300	\$1,597,500	(\$64,800)
FTEs		0.0	0.0	0.0
FY 2000 Adjustments				
Other FY00 revised funding estimates (non-state funds)			(\$64,800)	
Total Adjustments			(\$64,800)	

3.8 Special Projects

No staff is funded directly in this program. The appropriated funds are used for special projects such as:

F.A.C.T. Funding for various FACT related projects is budgeted under this program unit. The administration of FACT is budgeted in the Executive Director's Office.

Carousel Project: This is a flexible funding source for difficult placements of children who have been in programs in more than one area and have failed. These children have

typically been in Family Services, Mental Health, and Youth Corrections programs.

Single Audit: This is a billing from the State Auditor for expenses incurred in the financial audit required by the Federal Government.

Dual Diagnosis: This program is for court-ordered placement of individuals with a dual diagnosis such as mental illness and mental retardation.

3.8.1 Special Projects Budget

The \$1.0 million included in the Analyst's FY 2000 recommendation reflects an increase of \$103,000 for increased internal service fund rates.

	FY1998	FY1999	FY2000	FY 00 - 99
Funding Source	Actual	Authorized	Analyst	Difference
General Fund	\$901,002	\$910,200	\$961,700	\$51,500
Federal Funds	48,113	1,500	53,000	51,500
Revenue Transfers	8,000	0	0	0
Lapse	(41,178)	0	0	0
Total Revenues	\$915,937	\$911,700	\$1,014,700	\$103,000
FTEs		0.0	0.0	0.0
FY 2000 Adjustments				
ISF adjustments			\$103,000	
Total Adjustments			\$103,000	

3.9 Office of Children's Ombudsman

In January, 1996, the Department created an Ombudsman Office for children in the child welfare system. The purpose of the office is to investigate and resolve complaints and concerns. Three positions were moved from the Division of Family Services (DCFS) along with the General Fund supporting them. Four new positions have been created since then.

3.9.1 Office of Children's Ombudsman Budget Recommendations

The Analyst recommends \$403,000 be appropriated for the Office of Children's Ombudsman for FY 2000. Although not a funding increase, the recommended budget includes a transfer of \$20,000 General Funds from the Division of Child and Family Services for the DCFS Compliance Review Unit. The Department believes that this function needs to be more distant from DCFS to help ensure impartiality. The Analyst concurs in this transfer of \$20,000 in General Funds with an offsetting reduction in budgeted revenue transfers.

	FY1998	FY1999	FY2000	FY 00 - 99
Funding Source	Actual	Authorized	Analyst	Difference
General Fund	\$237,263	\$269,700	\$289,700	\$20,000
Federal Funds	63,977	78,300	78,300	0
Revenue Transfers	59,912	55,000	35,000	(20,000)
Total Revenues	\$361,152	\$403,000	\$403,000	\$0
FTEs		7.0	7.0	0.0
FY 2000 Adjustments				
Transfer from DCFS			\$0	
Total Adjustments			\$0	

3.10 Foster Care Citizens' Review Boards

The Foster Care Citizens' Review Board (FCCRB) was started as a pilot project in 1993 to evaluate an alternative method of reviewing foster care cases. Federal statute requires that the status of all children in foster care be reviewed at least every six months. Prior to the establishment of the FCCRB system, an administrative review process in the Division performed these reviews. Last year, the Legislature completed funding for the FCCRB program enabling it could serve the entire state. Currently, 29 of the planned 35 volunteer boards up and running, staffed by 276 volunteer board members. The project is governed by an 11-member steering committee representing the Legislature, the Department of Human Services, the juvenile court, the guardian ad litem, the Foster Parent Association, independent child advocates, and service providers. The Boards are supported by a full-time Director and other full-time and part-time employees. The citizen boards are advisory and can only make recommendations to the Department or to the courts.

3.10.1 Foster Care Citizens' Review Board Budget Recommendations

The Analyst's FY 2000 recommended budget for the FCCRB system totals \$896,400. This included \$692,100 from the General Fund. The recommendation includes \$3,900 for impacts of rate changes in the State's internal service funds, and \$35,700 for additional leased conference space along the Wasatch front.

	FY1998	FY1999	FY2000	FY 00 - 99
Funding Source	Actual	Authorized	Analyst	Difference
General Fund	\$549,660	\$689,000	\$692,100	\$3,100
Federal Funds	108,630	147,500	154,200	6,700
Fed Funds (SSBG Trnsf)			30,000	30,000
Revenue Transfers	0	20,000	20,100	100
Beginning Nonlapsing	40,070	0	0	0
Ending Nonlapsing	0	0		0
Total Revenues	\$698,360	\$856,500	\$896,400	\$39,900
FTEs		15.5	15.5	0.0
FY 2000 Adjustments				
Other FY00 revised funding estimates (non-state funds)			\$300	
Lease space			35,700	
ISF adjustments			3,900	
Total Adjustments			\$39,900	

3.10.2 FCCRB lease space requirements

The Division of Child and Family Services has recently moved out of several large central offices to smaller neighborhood offices along the Wasatch front. These smaller offices do not have conference rooms available for the boards to conduct their reviews. The Division requested \$78,500 to lease conference space in the community. The Analyst is recommending \$35,700, with \$30,000 from the use of Division State funds made available by transferring TANF (temporary assistance to needy families) funds to the SSBG (social services block grant). The Analyst encourages the boards to consider all public facilities and buildings before leasing commercial space.

3.11 DCFS Monitoring Panel

In February, 1993, the National Center for Youth Law (NCYL) filed a civil rights complaint in U.S. District Court on behalf of all children reported as abused and neglected or in foster care in Utah. A four year settlement was signed by Governor Leavitt on May 17, 1994, which was approved by Judge David Winder on August 29, 1994. This panel was established by federal court to monitor State compliance with the David C. settlement. In 1998, the Court agreed to let the 4 year old agreement expire, therefore the Monitoring Panel was disbanded. However, the Court is still reviewing the operation of the Division of Child and Family Services. It has directed the Division and the NCYL to prepare a mutually agreeable plan for child protective service improvements that satisfies the Court.

**3.11.1 DCFS
Monitoring Panel
Budget
Recommendation**

Since the settlement expired in August, 1998, the Analyst is recommending no funding for this panel for FY 2000.

	FY1998	FY1999	FY2000	FY 00 - 99
Funding Source	Actual	Authorized	Analyst	Difference
General Fund	\$413,900	\$21,700	\$0	(\$21,700)
Revenue Transfers	31,708	50,000	0	(50,000)
Beginning Nonlapsing	0	21,300	0	(21,300)
Ending Nonlapsing	(21,308)	0	0	0
Total Revenues	\$424,300	\$93,000	\$0	(\$93,000)
FTEs		0.2	0.0	(0.2)
FY 2000 Adjustments				
Less one-time funding			(\$93,000)	
Total Adjustments			(\$93,000)	

**3.12 Governor's
Council for People
with Disabilities**

Federal law requires states to have councils to serve as a coordinating, advocacy and long range planning body for people with disabilities. The Utah Council monitors the range, scope, and size of programs and evaluates their effectiveness. A major function of the Council is to make grants of federal funds for pilot service programs. The State must match 25 percent of the administrative cost.

The Council also administers the "Access Utah Network" program. This information delivery program is funded jointly by the State Offices of Education and Rehabilitation, and the Departments of Health and Human Services. Its purpose is to meet the information needs of persons with disabilities and their families. Areas of information maintained, include but are not limited to, services for people with disabilities, working knowledge of the Americans with Disabilities Act (ADA), assistive technology and programs/services located throughout the state that provide services or products to individuals with disabilities. It operates a toll-free telephone service, maintains an Internet home page, a Cooperative Service Directory of program service providers, a used equipment data bank, an ADA resource library, and a listing of assistive technology equipment nationwide.

The current FY 1999 budget for the Council is \$653,800. This includes \$73,500 from the General Fund, \$500,200 federal funds, and \$80,100 in transfers from other State agencies. The transfers fund operation of the "Access Utah Network" information program for people with disabilities. Office operations (administration) is budgeted at \$175,000 (\$73,500 State funds plus balance from federal funds). The Council estimates that it will fund 17 grants totalling \$398,700 (all federal funds). The two largest grants are \$104,600 to the Legislative Coalition for People with Disabilities (an advocacy group) and \$78,000 to the Partners in Policymaking which trains people with disabilities and their families to be effective advocates for the disabled. Included in the grants awarded are \$45,000 for grants management and \$40,000 for project evaluations. This leaves only \$131,100 of available funds for "real" service projects.

Federal law and the State Charter that created the Council indicate that one of its main functions is to educate the public and the public policymakers about the needs of people with disabilities. Nevertheless, the Analyst reports that the Council is not in compliance with legislative intent language as two of its largest grants go to groups that both lobby and train advocates in lobbying efforts. Furthermore, only a minor portion of its grants actually go to “develop services and supports for the people with disabilities in areas where the Council has identified weaknesses or gaps in the system.” This is also in violation of the same intent language. (See Section 3.0.1 in this report.)

3.12.1 Governor’s Council Budget Recommendations

The Analyst recommends that \$653,100 be appropriated for FY 2000. Federal funds make up most of the Council’s budget. The recommendation includes some minor adjustments in anticipated federal revenues and changes for internal service funds rate changes. The Analyst also recommends the Legislature review the operation of this Council and its budget.

	FY1998	FY1999	FY2000	FY 00 - 99
Funding Source	Actual	Authorized	Analyst	Difference
General Fund	\$71,998	\$73,500	\$73,500	\$0
Federal Funds	526,522	500,200	499,300	(900)
Revenue Transfers	78,644	80,100	80,300	200
Total Revenues	\$677,164	\$653,800	\$653,100	(\$700)
FTEs		5.5	5.5	0.0
FY 2000 Adjustments				
Other FY00 revised funding estimates (non-state funds)			(\$500)	
ISF adjustments			(200)	
Total Adjustments			(\$700)	

3.13 Federal Energy Program

The State receives a block grant to assist low income families in meeting heating costs. The program is known as the Home Energy Assistance Target (HEAT) Program in Utah. This program was part of the Office of Family Support in the Department of Human Services. When this office was transferred to the new Department of Workforce Services, the HEAT program stayed in DHS. For FY 1999 the HEAT program was transferred to the Division of Community and Economic Development (DCED).

**3.13.1 Federal Energy
Program Budget**

Funding Source	FY1998 Actual	FY1999* Authorized	FY2000 Analyst	FY 00 - 99 Difference
Dedicated Credits	\$14,251	\$0	\$0	\$0
Federal Funds	8,462,926			0
Total Revenues	\$8,477,177	\$0	\$0	\$0
FTEs		0.0	0.0	0.0
<i>*Federal HEAT program transferred to DCED in FY 1999.</i>				

4.0 TABLES

4.1. Funding History

	FY 1995	FY 1996	FY 1997	FY 1998	FY 1999*	FY 2000
Funding	Actual	Actual	Actual	Actual	Authorized	Analyst
General Fund	\$10,216,700	\$9,715,900	\$6,598,700	\$8,035,000	\$8,106,400	\$8,192,800
Federal Funds	9,177,200	13,382,300	14,445,700	15,913,332	7,837,000	7,864,000
Dedicated Credits	45,400	9,300	7,500	40,136	59,900	59,800
Transfers	1,957,600	1,961,100	2,048,000	2,489,028	2,427,600	2,251,800
Beginning Nonlapsing	404,800	425,100	265,700	140,070	121,300	
Ending Nonlapsing	(425,100)	(599,000)	(140,100)	(121,308)		
Lapse	(9,400)		(50,900)	(41,178)		
Total Revenues	\$21,367,200	\$24,894,700	\$23,174,600	\$26,455,080	\$18,552,200	\$18,368,400
FTEs					212.80	212.8
Programs						
Executive Director	\$899,000	\$1,512,900	\$1,818,100	\$2,254,743	\$2,468,900	\$2,478,100
Admin Hearings	427,300	333,400	382,500	573,491	657,000	657,200
Info. Technology	1,897,000	3,230,400	3,924,700	3,846,130	4,068,000	4,024,900
General Services	903,800					
Liability Management	2,313,700					
Management Services	6,133,300					
Central Licensing	1,987,700					
Admin. Support		6,280,100	2,553,900	2,846,525	3,012,600	2,978,600
Fiscal Operations	1,200,000	2,233,700	2,213,300	2,273,378	2,506,600	2,407,100
Human Resources	1,098,900	1,118,200	1,135,100	1,177,765	1,258,800	1,257,800
Local Discretionary	1,921,100	1,985,900	1,934,100	1,928,958	1,662,300	1,597,500
Special Projects	1,946,100	884,700	875,500	915,937	911,700	1,014,700
Children's Ombudsman			231,800	361,152	403,000	403,000
Monitoring Panel		134,100	122,700	424,300	93,000	0
Gov's DD Council	639,300	585,500	627,500	677,164	653,800	653,100
Fed Energy Program*		6,595,800	7,231,000	8,477,177	0	0
Foster Care Cit Rev Bd			124,400	698,360	856,500	896,400
Totals	\$21,367,200	\$24,894,700	\$23,174,600	\$26,455,080	\$18,552,200	\$18,368,400
Percent Change		16.51%	-6.91%	14.16%	-29.87%	-0.99%
<i>*Federal HEAT program transferred to DCED in FY 1999.</i>						

4.2. Federal Funds

	FY 1998 Actual	FY 1999 Authorized	FY 2000 Analyst Rec	FY 00 - 99 Difference
Title XX Soc Svc Block	\$45,582	\$150,200	\$150,200	\$0
General Funds				0
Totals for this grant/contract	\$45,582	\$150,200	\$150,200	\$0
Title IVB Child Welfare	\$3,726	\$4,500	\$4,500	\$0
General Funds				0
Totals for this grant/contract	\$3,726	\$4,500	\$4,500	\$0
Title XX Local Discr. (SSBG)	\$1,631,153	\$1,372,300	\$1,372,300	\$0
General Funds				0
Totals for this grant/contract	\$1,631,153	\$1,372,300	\$1,372,300	\$0
LIHEAP	\$8,463,667	\$1,000	\$1,000	\$0
General Funds				0
Totals for this grant/contract	\$8,463,667	\$1,000	\$1,000	\$0
Title IVD Child Support	\$64,396	\$73,900	\$73,900	\$0
General Funds	0			0
Totals for this grant/contract	\$64,396	\$73,900	\$73,900	\$0
LIHEAP 10% to	\$200,000	\$200,000	\$200,000	\$0
General Funds				0
Totals for this grant/contract	\$200,000	\$200,000	\$200,000	\$0
Title IVE AFDC FC	\$486,288	\$648,800	\$648,800	\$0
General Funds	486,288	648,800	648,800	0
Totals for this grant/contract	\$972,576	\$1,297,600	\$1,297,600	\$0
Dev Disability Grants *	\$526,522	\$500,200	\$500,200	\$0
General Funds	0			0
Totals for this grant/contract	\$526,522	\$500,200	\$500,200	\$0
<i>*For administration, state match is 35%. Grant recipients must provide their own match.</i>				
Title IVE Adoptions	\$17,534	\$23,500	\$23,500	\$0
General Funds	17,534	23,500	23,500	0
Totals for this grant/contract	\$35,068	\$47,000	\$47,000	\$0
DHS Other Grants	\$4,176,658	\$4,572,600	\$4,599,600	\$27,000
General Funds	4,176,658	4,572,600	4,599,600	27,000
Totals for this grant/contract	\$8,353,316	\$9,145,200	\$9,199,200	\$54,000
Urban Enterprise Grant	\$297,805	\$290,000	\$290,000	\$0
General Funds	0	0	0	0
Totals for this grant/contract	\$297,805	\$290,000	\$290,000	\$0
Total Federal Funds	\$15,913,331	\$7,837,000	\$7,864,000	\$27,000
Total State Funds	\$4,680,480	\$5,244,900	\$5,271,900	27,000
Total Funds	\$20,593,811	\$13,081,900	\$13,135,900	\$54,000

4.3 Fee Schedule

The fee schedule for licensure must be approved by the Legislature and included in the Appropriations Act. Fees collected are deposited with the General Fund. Actual collections in FY 1998 amounted to approximately \$56,600. The following fee schedule is proposed for FY 2000. No changes are proposed in the current fee structure. However, three fees have been eliminated and two new fees are listed.

	Current Fee	Department Changes FY	Estimated # Licenses	Estimated Revenue	Diff (+ or -)
00					
Department of Human Services					
Initial (any new program except comprehensive mental health or substance abuse)	\$200.00	no change	70	\$14,000	
Adult Day Care (0-50)	50.00	no change	27	1,350	
Adult Day Care per cap	1.25	no change	630	788	
Adult Day Care (50+)	100.00	no change	2	200	
Child Placing	150.00	no change	95	14,250	
Comprehensive Mental Health	600.00	deleted			
Comprehensive Substance Abuse	600.00	deleted			
Day Treatment	75.00	no change	205	15,375	
DUI Education	50.00	deleted			
Outpatient Treatment	50.00	no change	290	14,500	
Residential Support	50.00	no change	70	3,500	
Residential Treatment	100.00	no change	398	39,800	
Residential Treatment per cap	1.50	no change	6,049	9,074	
Social Detox	100.00	no change	8	800	
Life Safety Pre-inspection	100.00	no change	65	6,500	
Outdoor Youth Program	100.00	no change	6	600	
Outdoor Youth per cap	5.00	no change	270	1,350	
Intermediate Secure Treatment	150.00	new	4	600	
FBI Fingerprint Checks	24.00	new	4,000	96,000	*

* Note: The fees collected for the FBI fingerprint checks will be passed through to the FBI.